



2009 Annual Energy Acquisition Statement and 2009 Renewable Energy Shortfall Statement

Version 1 as updated
 November 2009

Legislative Reference

Renewable Energy (Electricity) Act 2000 (the Act)

Under Section 44 (1) and 46 (1) of the Act, liable entities who acquired electricity under a relevant acquisition during a year, must lodge an annual energy acquisition statement or a renewable energy shortfall statement for the compliance year on or before:

- (a) 14 February in the following year; or
- (b) Any later date allowed by the Regulator.

Lodgement details

1. Due date

A completed 2009 statement is due for lodgement with the Office of the Renewable Energy Regulator (ORER) by close of business Monday 15 February 2010. The original signed and completed statement can be delivered by courier or posted, and must be received by the due date. The ORER advises respondents that faxing the statement to 02 6159 7780 is undertaken at their own risk, as security cannot be guaranteed and original signed hard copies are required to be lodged by the due date.

2. Address details

Completed forms must be marked 'confidential' and lodged to the following address:

Office of the Renewable Energy Regulator
GPO Box 621
CANBERRA ACT 2601

NOTE: It is important for all liable parties completing an Annual Energy Acquisition Statement (AEAS) or a Renewable Energy Shortfall Statement (RESS) to keep a copy of the completed form. If a copy of the completed AEAS or RESS is requested by a person other than primary contact, then authorisation from the company chief executive or equivalent will be required.

If you have any questions or issues regarding this statement please contact a member of the ORER Liability Assessment team before lodgement on 02 6159 7700 or via email at orer@orer.gov.au

Office use only:

Compliance year:	1 January – 31 December 2009
Liable entity name:	-----
Lodgement number:	
Date received: (stamped date)	

This form was approved by the Renewable Energy Regulator on 2 November 2009 under s.44 (3) (a) and s.46 (3) (a) of the Act.

Notes to help complete this statement

General

- The *Renewable Energy (Electricity) Act 2000* (the Act) establishes two types of liable acquisitions of electricity, called relevant acquisitions. These are termed as wholesale acquisitions and notional wholesale acquisitions.
- Details of the relevant acquisitions can be found under Part 3, Sections 31 – 34, of the Act which can be accessed from the ORER's Legislation web page (www.orer.gov.au/legislation/index.html). Further details relating to liable acquisitions can be found under Part 3, Regulations 21A – 22, of the *Renewable Energy (Electricity) Regulations 2001* (the Regulations).
- Identification of relevant acquisitions is the responsibility of the liable party.
- Acquisitions of electricity on grids of less than 100 MW capacity are not liable.
- A liability compliance year is from 1 January to 31 December for any given calendar year.
- A NEM acquisition split by state can be your initial estimated figure for this year but it must be included in the AEAS as an attachment.
- For all NEM revised acquisitions, use NEM 30 week revised settlement data. If you are including additional NEM acquisitions in this revised figure please provide a corresponding note in the relevant field.

Hints on completing the Relevant Acquisition Tables:

Respondents are encouraged to identify strategies for reporting relevant acquisitions which reduces the number of relevant acquisition tables to complete. For example:

- if electricity is supplied to one customer, on one site, but is measured through multiple meters, a single table can be completed for that site and should include all relevant meter readings and metering reference codes. The electricity used on the site can then be reported as a single aggregated transaction in the *Relevant Acquisition* table(s) of the AEAS;
- a wholesale acquisition to supply a region, where the amount of electricity acquired can be identified through eg NEM settlement data, can be reported as a single transaction, in preference to reporting against each separately read meter within the supply region.

Where in doubt about pursuing the best strategy to report a liability, contact the ORER Liability Assessment team on (02) 6159 7700.

Surrendering Renewable Energy Certificates

Renewable Energy Certificates (RECs) can only be surrendered through the internet based registry system known as the REC Registry (www.rec-registry.gov.au). Liable parties must apply for a user name and password on the REC Registry to conduct transactions, including the surrender of RECs. RECs can be surrendered in accordance with section 44 and 95 of the Act through the REC Registry surrender module which is open between 1 January and 14 February each year (unless the Renewable Energy Regulator specifies other dates). Assistance in using the surrender facility is available from the REC Registry helpdesk free-call 1800 159 724.

General information to Help Identify Liable Transactions for liable entities:

- as detailed under Section 34 of the Act, acquisitions by AEMO or a person or body prescribed by the regulations, eg the Independent Market Operator (IMO) are not relevant acquisitions.
- where the electricity is used on site, but is generated by a different company (and is usually sold to the user of the electricity), this is not considered to fall within the self-generation exemption within the legislation and the generator is usually the liable party.
- where electricity is generated and used by the same legal entity, but is delivered to the site of use through a public, or multi-user electricity network, this does not generally meet the self-generation exemption within the legislation and the transaction is liable.
- under the Act, the point at which liability is measured is at the relevant wholesale acquisition point (generally TNIs in NEM) or equivalent point in Non-NEM regions, and is the point that the ORER requires the electricity acquisition data for the AEAS or RESS. If the electricity acquisition is metered at any other point in the power system (eg customer connection point or power station gate) it needs to be adjusted by applying either a distribution or marginal loss factor or transmission loss factor, which ever is appropriate. The loss factor used to adjust the acquisition needs to be approved by an appropriate authority.

If you require further advice about liable acquisitions of electricity, please contact the ORER Liability Assessment team on (02) 6159 7700. Single line diagrams of the electricity supply arrangements can often assist in determining the nature and point of liability.

Summary

For liable parties to comply with the enabling legislation:

- an original signed and completed AEAS must be lodged with the ORER by the due date; and
- for the 2009 compliance year liable parties are required to surrender RECs between 1 January and 15 February 2010 **OR** provide payment of the renewable energy shortfall charge (RESC) when lodging the AEAS. For RESC amounts you should review the *Renewable Energy (Electricity) (Charge) Act 2000*.

2009 Annual Energy Acquisition Statement

Liabe entities are required to indicate which sections of this statement apply to the 2009 compliance year

- Part A Company Contact Details
- Part B 2009 Relevant Acquisitions
- Part C REC Liability Adjustment Calculation for Previous Years
- Part D Total REC Liability Calculation
- Part E Redeeming a Renewable Energy Shortfall Charge
- Part F 2009 Renewable Energy Shortfall Statement

Part A – Company Contact Details

<p>Company Name:</p> <p>The company name must be the same as the REC Registry account name.</p>	
<p>Trading Name:</p> <p>The company trading name must be the same as the REC Registry account name.</p>	
<p>ABN:</p> <p>The ABN must be consistent with the liable entity name used in the REC Registry</p>	<div style="background-color: #cccccc; width: 50px; height: 15px; margin: 0 auto;"></div>
<p>Postal Address:</p> <p>The ORER will use this address for all correspondence related to the assessment of this statement</p>	
<p>Primary Contact Details:</p> <p>The ORER may contact this person to seek clarification about the information provided in the statement during the assessment process</p>	Name: <input style="width: 80%;" type="text"/>
	Position: <input style="width: 80%;" type="text"/>
	Email: <input style="width: 80%;" type="text"/>
	Phone No: () <input style="width: 60%;" type="text"/>
	Fax No: () <input style="width: 60%;" type="text"/>
<p>Secondary Contact Details:</p> <p>The ORER may contact this person if the primary contact is not contactable</p>	Name: <input style="width: 80%;" type="text"/>
	Position: <input style="width: 80%;" type="text"/>
	Email: <input style="width: 80%;" type="text"/>
	Phone No: () <input style="width: 60%;" type="text"/>
	Fax No: () <input style="width: 60%;" type="text"/>
<p>Chief Executive Officer or equivalent</p> <p>The ORER may contact this person if required</p>	Name: <input style="width: 80%;" type="text"/>
	Position: <input style="width: 80%;" type="text"/>
	Email: <input style="width: 80%;" type="text"/>
	Phone No: () <input style="width: 60%;" type="text"/>
	Fax No: () <input style="width: 60%;" type="text"/>
	Mobile: <input style="width: 80%;" type="text"/>

Part B – 2009 Relevant Acquisitions

To complete the following table(s) you may need to refer to the notes provided on page 2 of this statement. All details relating to every relevant acquisition should be reported. If you require any clarifications you can contact the ORER Liability Assessment team for assistance.

Relevant Acquisition 1

First set of questions

Is this relevant acquisition a:	<input type="checkbox"/> Wholesale OR <input type="checkbox"/> Notional acquisition		
In what network did the relevant acquisition occur (ie settlement type)?	ACT, SA, VIC, TAS, NSW or QLD		
	<input type="checkbox"/> NEM <i>(For all NEM acquisitions provide the data split by state in an attachment with the 2009 AEAS (see general notes page 2). Only mark NEM if you make wholesale purchases of electricity from the NEM pool. In all other cases please indicate the acquisition as non NEM, or other as relevant and applicable.)</i>		
	<input type="checkbox"/> Non – NEM		
	WA or NT		
	<input type="checkbox"/> Pilbara	<input type="checkbox"/> IMO	<input type="checkbox"/> Katherine / Darwin
	<input type="checkbox"/> SWIS	<input type="checkbox"/> Non – SWIS	
Other	<input type="checkbox"/> If other, complete questions under the Second set of questions heading		
Identify the period over which this relevant acquisition occurred:		/ /2009 to / /2009	
General description of the liable transaction <i>For example: purchase for retailing activities in (insert name) region</i>			
Description of the liability point. <i>For example: NEM settlement point, customer connection point, boundary of transmission and distribution system</i>			
Amount of electricity purchased at the liability point (MWh). Note: 1. <i>the ORER only requires summary data to be attached to this statement. However, clearly labelled meter readings or other proof of measurement must be readily available if requested by the ORER. Where metered measurements have been adjusted by loss factors, include all relevant calculations.</i>			MWh <i>(to one decimal place)</i>

Second set of questions

When was the meter read throughout the compliance year? <i>For example: 1 January and 31 December 2009</i>			
For meter readings that do not coincide with the compliance year, detail how reading adjustments were made			
Describe the recording process of the meter. <i>For example: cumulative, net meter, meter reset each year</i>			
Metering standard for measuring the relevant acquisition? <i>For example: custody transfer meter.</i>			
Where a transaction is not metered, describe how the amount of liable electricity was determined.			
Could alternative measuring points have been used to determine the relevant acquisition? If yes, where? Explain why this measurement point was chosen.			

Part B – 2009 Relevant Acquisitions

To complete the following table(s) you may need to refer to the notes provided on page 2 of this statement. All details relating to every relevant acquisition should be reported. If you require any clarifications you can contact the ORER Liability Assessment team for assistance.

Relevant Acquisition 2

First set of questions

Is this relevant acquisition a:	<input type="checkbox"/> Wholesale OR <input type="checkbox"/> Notional acquisition		
In what network did the relevant acquisition occur (ie settlement type)?	ACT, SA, VIC, TAS, NSW or QLD		
	<input type="checkbox"/> NEM <i>(For all NEM acquisitions provide the data split by state in an attachment with the 2009 AEAS (see general notes page 2). Only mark NEM if you make wholesale purchases of electricity from the NEM pool. In all other cases please indicate the acquisition as non NEM, or other as relevant and applicable.)</i>		
	<input type="checkbox"/> Non – NEM		
	WA or NT		
	<input type="checkbox"/> Pilbara	<input type="checkbox"/> IMO	<input type="checkbox"/> Katherine / Darwin
	<input type="checkbox"/> SWIS	<input type="checkbox"/> Non – SWIS	
Other	<input type="checkbox"/> If other, complete questions under the Second set of questions heading		

Identify the period over which this relevant acquisition occurred: / /2009 to / /2009

General description of the liable transaction

For example: purchase for retailing activities in (insert name) region

Description of the liability point.

For example: NEM settlement point, customer connection point, boundary of transmission and distribution system

Amount of electricity purchased at the liability point (MWh).

Note:

1. the ORER only requires summary data to be attached to this statement. However, clearly labelled meter readings or other proof of measurement must be readily available if requested by the ORER. Where metered measurements have been adjusted by loss factors, include all relevant calculations.

MWh

(to one decimal place)

Second set of questions

When was the meter read throughout the compliance year?

For example: 1 January and 31 December 2009

For meter readings that do not coincide with the compliance year, detail how reading adjustments were made

Describe the recording process of the meter.

For example: cumulative, net meter, meter reset each year

Metering standard for measuring the relevant acquisition?

For example: custody transfer meter.

Where a transaction is not metered, describe how the amount of liable electricity was determined.

Could alternative measuring points have been used to determine the relevant acquisition?

If yes, where? Explain why this measurement point was chosen.

Part B – 2009 Relevant Acquisitions

To complete the following table(s) you may need to refer to the notes provided on page 2 of this statement. All details relating to every relevant acquisition should be reported. If you require any clarifications you can contact the ORER Liability Assessment team for assistance.

Relevant Acquisition 3

First set of questions

Is this relevant acquisition a:	<input type="checkbox"/> Wholesale OR <input type="checkbox"/> Notional acquisition		
In what network did the relevant acquisition occur (ie settlement type)?	ACT, SA, VIC, TAS, NSW or QLD		
	<input type="checkbox"/> NEM <i>(For all NEM acquisitions provide the data split by state in an attachment with the 2009 AEAS (see general notes page 2). Only mark NEM if you make wholesale purchases of electricity from the NEM pool. In all other cases please indicate the acquisition as non NEM, or other as relevant and applicable.)</i>		
	<input type="checkbox"/> Non – NEM		
	WA or NT		
	<input type="checkbox"/> Pilbara	<input type="checkbox"/> IMO	<input type="checkbox"/> Katherine / Darwin
	<input type="checkbox"/> SWIS	<input type="checkbox"/> Non – SWIS	
Other	<input type="checkbox"/> If other, complete questions under the Second set of questions heading		
Identify the period over which this relevant acquisition occurred:		/ /2009 to / /2009	
General description of the liable transaction <i>For example: purchase for retailing activities in (insert name) region</i>			
Description of the liability point. <i>For example: NEM settlement point, customer connection point, boundary of transmission and distribution system</i>			
Amount of electricity purchased at the liability point (MWh). Note: 1. <i>the ORER only requires summary data to be attached to this statement. However, clearly labelled meter readings or other proof of measurement must be readily available if requested by the ORER. Where metered measurements have been adjusted by loss factors, include all relevant calculations.</i>			MWh <i>(to one decimal place)</i>

Second set of questions

When was the meter read throughout the compliance year? <i>For example: 1 January and 31 December 2009</i>			
For meter readings that do not coincide with the compliance year, detail how reading adjustments were made			
Describe the recording process of the meter. <i>For example: cumulative, net meter, meter reset each year</i>			
Metering standard for measuring the relevant acquisition? <i>For example: custody transfer meter.</i>			
Where a transaction is not metered, describe how the amount of liable electricity was determined.			
Could alternative measuring points have been used to determine the relevant acquisition? If yes, where? Explain why this measurement point was chosen.			

If you are reporting more than three acquisitions, please copy this sheet for each additional acquisition

Part B – 2009 Relevant Acquisitions – Continued

2009 Total Relevant Acquisitions Summary

Relevant Acquisition No 1		MWh
Relevant Acquisition No 2		MWh
Relevant Acquisition No 3		MWh
Relevant Acquisition No 4		MWh
Relevant Acquisition No 5		MWh
Relevant Acquisition No 6		MWh
Relevant Acquisition No 7		MWh
Relevant Acquisition No 8		MWh
Relevant Acquisition No 9		MWh
Relevant Acquisition No 10		MWh
Total Relevant Acquisition = (Sum above) <i>Please round all relevant acquisitions, including the sum, to one decimal point.</i>	(A)	MWh
Describe why: <ul style="list-style-type: none"> • individual acquisitions; or • the 2009 total relevant acquisition; increased or decreased as compared to 2008.		
If your liability commenced after 1 January 2009 or ceased before 31 December 2009, provide reasons for not reporting a whole calendar year.		

2009 Renewable Energy Certificate (REC) Liability

	Total Relevant Acquisitions	Multiply by	Renewable Power Percentage for 2009	Equals	REC Liability
					*You must round to the nearest whole number (0.5 or greater round up) ** Write (B) beside (B) under Part D – REC Liability
(A)	MWh	X	0.0364	=	* RECs (B)**

Part C – REC Liability Adjustment Calculation for Previous Years

Has the data used to calculate the company's relevant acquisitions for the 2008, 2007, 2006 or 2005 compliance years changed since the ORER last assessed the applicable compliance year?

Yes

Indicate the affected compliance year(s) opposite and complete the applicable table(s) below

2008

2007

2006

2005

No

Continue to Part D – Total REC Liability Calculation

Note:

- (a) All relevant acquisitions are to be included in the below tables. This includes relevant acquisitions that are not being revised;
- (b) Round all totals to one decimal point;
- (c) Please attach relevant documentation to support your adjustment(s) including relevant liability dates and reasons for the revisions;
- (d) Use NEM 30 week revised settlement data for all relevant NEM acquisitions.**
- (e) For Non – NEM acquisitions use the most current revised data available;
- (f) For SWIS, Pilbara and Katherine/Darwin relevant acquisitions use the most current revised data available

2008 – 2007 Relevant Acquisition Adjustments

Relevant Acquisition Number	2008		2007	
	Last assessed by the ORER in MWh	Revised data in MWh	Last assessed by the ORER in MWh	Revised data in MWh
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
Total				
	(C)	(D)	(H)	(I)

2008 REC Liability Adjustment

Write answer to:	Multiply by	Renewable Power Percentage for 2008	Equals	REC Liability
				*You must round to the nearest whole number (0.5 or greater round up) ** Write (G) beside (G) under Part D – REC Liability *** A Positive number equals a REC shortfall. A negative number equals a REC surplus.
(C)	X	0.0314	=	* RECs (E)
(D)	X	0.0314	=	* RECs (F)
REC Liability Adjustment in terms of Surplus or Shortfall for the compliance year			(F) – (E) =	*** RECs (G)

2007 REC Liability Adjustment

Write answer to:	Multiply by	Renewable Power Percentage for 2007	Equals	REC Liability
				*You must round to the nearest whole number (0.5 or greater must be round up) ** Write (L) beside (L) under Part D – REC Liability *** A Positive number equals a REC shortfall. A negative number equals a REC surplus.
(H)	X	0.0270	=	* RECs (J)
(I)	X	0.0270	=	* RECs (K)
REC Liability Adjustment in terms of Surplus or Shortfall for the compliance year			(K) – (J) =	*** RECs (L)

Part C – REC Liability Adjustment Calculation for Previous Years – Continued

2006 – 2005 Relevant Acquisition Adjustments

Relevant Acquisition Number	2006		2005	
	Last assessed by the ORER in MWh	Revised data in MWh	Last assessed by the ORER in MWh	Revised data in MWh
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
Total				
	(M)	(N)	(R)	(S)

2006 REC Liability Adjustment

Write answer to:	Multiply by	Renewable Power Percentage for 2006	Equals	REC Liability	
				*You must round to the nearest whole number (0.5 or greater round up) ** Write (Q) beside (Q) under Part D – REC Liability *** A Positive number equals a REC shortfall. A negative number equals a REC surplus.	
(M)	X	0.0217	=	* RECs	(O)
(N)	X	0.0217	=	* RECs	(P)
REC Liability Adjustment in terms of Surplus or Shortfall for the compliance year			(P) – (O) =	*** RECs	(Q)

2005 REC Liability Adjustment

Write answer to:	Multiply by	Renewable Power Percentage for 2005	Equals	REC Liability	
				*You must round to the nearest whole number (0.5 or greater round up) ** Write (V) beside (V) under Part D – REC Liability *** A Positive number equals a REC shortfall. A negative number equals a REC surplus.	
(R)	X	0.0164	=	* RECs	(T)
(S)	X	0.0164	=	* RECs	(U)
REC Liability Adjustment in terms of Surplus or Shortfall for the compliance year			(U) – (T) =	*** RECs	(V)

Part D – Total REC Liability Calculation

REC Liability

What is your 2009 REC liability? <i>Refer to Part B – 2009 Renewable Energy Certificate (REC) Liability</i>	(B)		RECs
Do you have a 2008 REC liability adjustment? <i>Refer to Part C – 2008 REC Liability Adjustment</i>	(G)	<input type="checkbox"/> (+)	RECs
		<input type="checkbox"/> (-)	
Do you have a 2007 REC liability adjustment? <i>Refer to Part C – 2007 REC Liability Adjustment</i>	(L)	<input type="checkbox"/> (+)	RECs
		<input type="checkbox"/> (-)	
Do you have a 2006 REC liability adjustment? <i>Refer to Part C – 2006 REC Liability Adjustment</i>	(Q)	<input type="checkbox"/> (+)	RECs
		<input type="checkbox"/> (-)	
Do you have a 2005 REC liability adjustment? <i>Refer to Part C – 2005 REC Liability Adjustment</i>	(V)	<input type="checkbox"/> (+)	RECs
		<input type="checkbox"/> (-)	
Do you have a carried forward REC Shortfall from 2008? <ul style="list-style-type: none"> Refer to Section 36 (2) of the Act and the ORER assessment letter sent in 2009. If you paid the Renewable Energy Shortfall Charge, you do not have a carried forward REC Shortfall. 	(W)	RECs	
Do you have a carried forward REC Surplus from 2008? <ul style="list-style-type: none"> If you are not sure you can check the ORER assessment letter sent in 2009. 	(X)	RECs	
Do you have a renewable energy shortfall charge from a previous compliance year? <ul style="list-style-type: none"> If yes, are you planning to redeem the REC shortfall? If yes, provide details of the REC shortfall at (Y) and complete Part E of this form. 	(Y)	RECs	
Total REC liability for 2009 (B) +/- (G) +/- (L) +/- (Q) +/- (V) + (W) – (X) + (Y) = <ul style="list-style-type: none"> If (G)(L)(Q)(V) are positive numbers, add them to your overall REC liability. If (G)(L)(Q)(V) are negative numbers, subtract them from your overall REC liability. 	(Z)	RECs	

Part D – Total REC Liability Calculation – Continued

REC Surrender Details

<p>How many RECs were offered for surrender? Liable entities should note the following information when offering RECs to acquit a REC liability under the Act:</p> <ul style="list-style-type: none"> • Only registered RECs created between 1 April 2001 and 31 December 2009 can be offered for surrender against a 2009 REC liability as specified under Section 45 of the Act. • RECs offered for surrender in accordance with section 44 and 95 of the Act will be marked with a status of 'Pending Surrender' in the REC Registry. • After the RECs are accepted by the ORER to acquit a REC liability under the Act, RECs will have a status of 'Invalid Due to Surrender' • <u>If a liable entity ceases to be liable in the future and the ORER accepted RECs for surrender against a REC liability, then those RECs accepted can not be returned to the liable entity's REC Registry account.</u> 	(AA)	RECs
<p>Did you complete the REC surrender offer in the REC Registry? It is <i>very</i> important that you offer RECs to acquit a 2009 REC liability between the surrender period of 1 January 2010 – 15 February 2010 in the REC Registry (www.rec-registry.gov.au). If you fail to offer RECs than the renewable energy shortfall charge may apply.</p>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<p>Do you have a 2009 REC Shortfall or Surplus?</p> <ul style="list-style-type: none"> • If you have a shortfall continue to Part F- Renewable Energy Shortfall Statement (RESS) of this statement 	(AA) – (Z) =	(AB)
		<input type="checkbox"/> (+) Surplus <input type="checkbox"/> (-) Shortfall RECs

Part E – Redeeming a Renewable Energy Shortfall Charge (RESC)

If you are redeeming a RESC paid in a previous compliance year(s) you will need to complete this section.

Do you have a 2009 REC Surplus? (located under Part D – REC surrender)	(AB)	<input type="checkbox"/> Yes Continue to the next question	<input type="checkbox"/> No If No revisit Part D, and acquire and surrender additional RECs to redeem a RESC
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To redeem a renewable energy shortfall charge paid for a given compliance year, complete the below table:

Redeeming Compliance Year	REC Shortfall	RECs offered to Acquit REC Shortfall	RESC paid (REC shortfall multiplied by \$40)		Administration Fee ¹		RESC Refund (AC) – (AD) = (AE)
2008	RECs	RECs	\$	(AC)	\$	(AD)	\$
2007	RECs	RECs	\$		\$		\$
2006 ²	RECs	RECs	\$		\$		\$

Did you pay RESC for a compliance year(s) that was reported for the first time with the 2006, 2007 or 2008 AEAS?

Yes

• Specify the compliance year(s) you are redeeming by amending the form fields under the “Redeeming Compliance Year” heading in the table above and complete as appropriate

No

Specify the payment date(s)

1. An Administration Fee is deducted from the total RESC previously paid. Regulation 28 (5) of the regulation states:

“For subsection 98 (1) of the Act, the administration fee is the following percentage of the certificate value:

- (a) for a certificate value of less than \$1 000 — 2%;*
- (b) for a certificate value of at least \$1 000 but less than \$5 000 — 1.5%;*
- (c) for a certificate value of at least \$5 000 but less than \$15 000 — 1%;*
- (d) for a certificate value of at least \$15 000 — 0.5%.”*

The ORER does not refund any interest charge that may have been incurred due to late payment of the RESC.

2. This is the last opportunity for liable entities to redeem any 2006 RESC. Refer to Section 95 (2) of the Act

Part F – Renewable Energy Shortfall Statement (RESS)

You must complete this section if you were directed under Part D – REC Surrender Details heading of this statement.

What is the total REC liability for 2009? <small>(located under Part D – REC liability)</small>		(Z)	RECs
What is the 2009 REC Shortfall? <small>(located under Part D – REC surrender)</small>		(AB)	RECs
What is the REC shortfall percentage?	$[(AB) \div (Z)] \times 100 =$	(AF)	%
Is the 2009 REC shortfall (AF), less than 10% of the total REC liability (Z), for 2009?	<input type="checkbox"/> Yes		<input type="checkbox"/> No
	<ol style="list-style-type: none"> 1. You do not need to complete the rest of this section. 2. The 2009 REC shortfall can be carried forward to the 2010 REC liability. 		<ol style="list-style-type: none"> 1. Continue to the next question. 2. The 2009 REC shortfall attracts RESC.
Determine your renewable energy shortfall charge (RESC). <i>This is to be paid to the ORER to acquit the 2009 REC liability. Refer Section 37 of the Act and the Renewable Energy (Electricity) (Charge) Act 2000)</i>		(AB) multiply by (\$40* or \$65**) =	\$
		<i>* Applies to RESC calculated for the 2001 – 2009 compliance years</i> <i>** Applies to RESC calculated for the 2010 – 2030 compliance years</i>	
Is the RESC payment attached or paid?	<input type="checkbox"/> Yes	<input type="checkbox"/> Company cheque <input type="checkbox"/> Bank cheque <input type="checkbox"/> Money order <input type="checkbox"/> EFT (attach relevant documentation ie bank details/screen shots)	
	<input type="checkbox"/> No	Have you sought an extension of time to pay the RESC from the Renewable Energy Regulator?	<input type="checkbox"/> Yes <input type="checkbox"/> No, provide a reason below for not paying the applicable RESC.

Declaration

I certify that:

- I _____ (insert name) am an authorised representative of _____ (enter company name) able to submit this

statement on behalf of the company;

- the information contained in this statement is a true and accurate representation of the facts;
- records that demonstrate the accuracy of the statements made in this statement have been retained and can be provided on request; and
- I have kept a completed copy of this AEAS form for the company's records.

I authorise the ORER to access relevant meter data, including data held by third parties, to verify information provided in this statement.

I acknowledge that:

- where the Renewable Energy Regulator (Regulator) considers that an arrangement has been entered into for the purpose of avoiding payment of renewable energy shortfall charge, the Regulator can require that the entity pay the amount of renewable energy shortfall charge that the Regulator considers would have been payable had the arrangement not been made;
- the Regulator may amend the assessment in accordance with the provisions of Section 49 of the *Renewable Energy (Electricity) Act 2000* (the Act);
- renewable energy shortfall charges are debts due to the Australian Government and the ORER can take action to recover unpaid charges;
- where RECs offered for surrender are accepted, the RECs are considered to be retired under Section 29 of the Act. These RECs are marked "invalid due to surrender" in the REC Registry. Accepted RECs will remain invalid due to surrender and can never be returned to the company account for trading;
- failure to provide an annual energy acquisition statement, renewable energy shortfall statement or information relevant to assessing liability can result in the application of penalty charges under Part 9 of the Act;
- the making of statements which are false or misleading can result in the application of penalty charges under Part 9 of the Act;
- the ORER can seek to verify information provided under the Act or check compliance with the Act by consent or monitoring warrant. Authorised officers of the ORER can request or require that information be provided as part of this process;
- failure to provide information to an authorised officer when requested under monitoring warrant constitutes an offence with a maximum penalty of imprisonment for 6 months;
- knowingly providing false or misleading evidence or documents is an offence with a maximum penalty of imprisonment for 12 months;
- the Regulator may publish a list of each liable entity that has a renewable energy certificate shortfall for a particular year, each liable entity's REC shortfall for that year and the proportion of that REC shortfall relative to the liable entity's total liability for a year as specified under Section 134 of the Act;
- the Act imposes an obligation with respect of the retention of records relating to this form (Section 160).

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Signature Name Date Place

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Witness – Signature Name Date Place